



# Freedom vs. Paternalism: Beauvoir and the Politics of Design



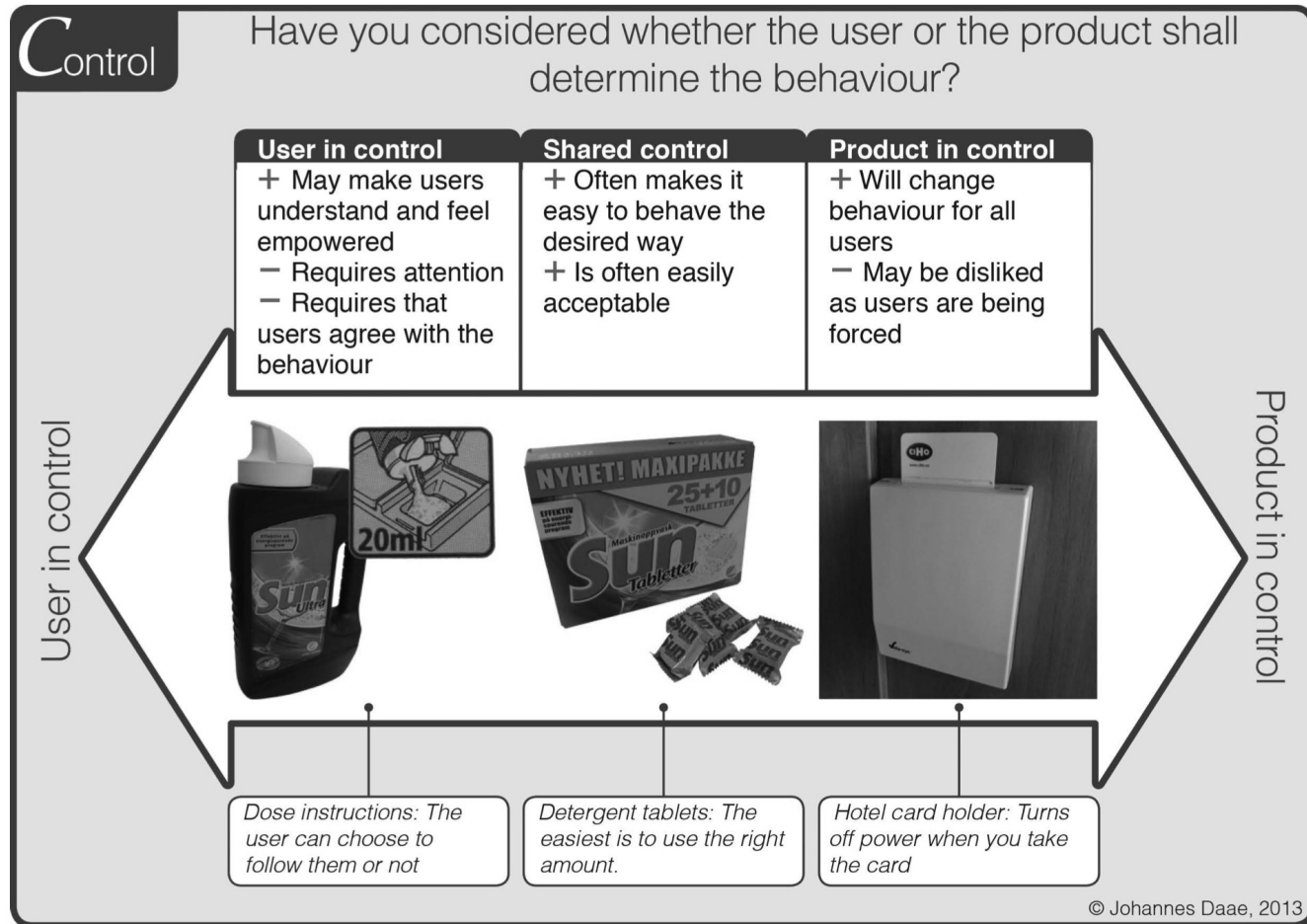
Industrial Design Engineering LabTalk  
TU Delft  
28 June 2023

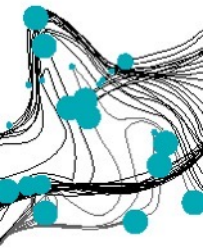
Dr. Nolen Gertz  
Assistant Professor  
of Applied Philosophy  
University of Twente



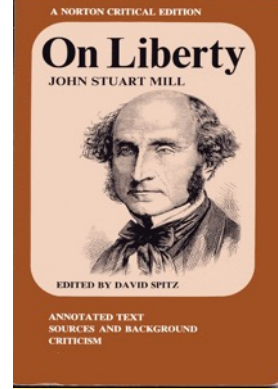
# Part I

# Design and Paternalism





## Mill vs. Paternalism



“The only freedom which deserves the name, is that of pursuing our own good in our own way, so long as we do not attempt to deprive others of theirs, or impede their efforts to obtain it...

“...the only purpose for which power can be rightfully exercised over any member of a civilized community, against his will, is to prevent harm to others. His own good, either physical or mental, is not a sufficient warrant.”





## MASTER INDUSTRIAL DESIGN ENGINEERING

BECOME A **'DESIGNER'** AND **ENRICH PEOPLE'S LIVES** WITH **NEW AND/OR BETTER PRODUCTS** ~~WHILE CONTINUOUSLY IMPROVING THE DEVELOPMENT PROCESSES INVOLVED.~~

In your daily life, without realising, you are continuously surrounded by products. But who designed these products? Why are they shaped the way they are? What user demands do they meet, what processes lie behind the development and what technologies are involved in that process? That's what the **Master's in Industrial Design Engineering (IDE)** at the University of Twente (UT) is all about.

VS

"Paternalism!"



# Herbert A. Simon

## **The Science of Design: Creating the Artificial**

Historically and traditionally, it has been the task of the science disciplines to teach about natural things: how they are and how they work. It has been the task of engineering schools to teach about artificial things: how to make artifacts that have desired properties and how to design.

Engineers are not the only professional designers. **Everyone designs who devises courses of action aimed at changing existing situations into preferred ones.** The intellectual activity that produces material artifacts is no different fundamentally from the one that prescribes remedies for a sick patient or the one that devises a new sales plan for a company or a social welfare policy for a state. Design, so construed, is the core of all professional training: it is the principal mark that distinguishes the professions from the sciences. Schools of engineering, as well as schools of architecture, business, education, law, and medicine, are all centrally concerned with the process of design.

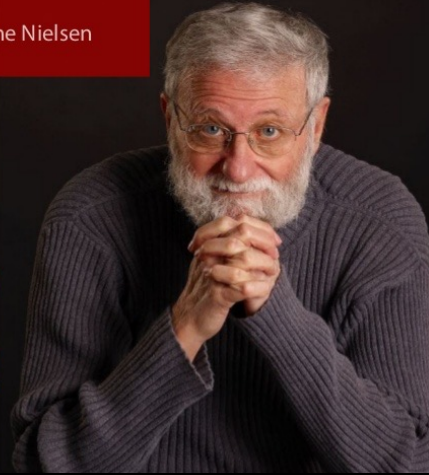
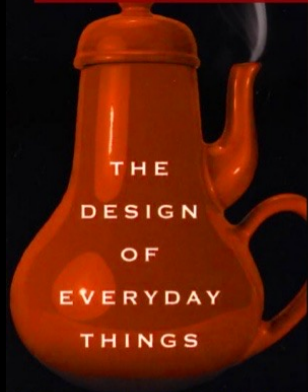
VS

“Paternalism!”



# Donald A. Norman

Co-founder & principal of the Nielsen Norman group



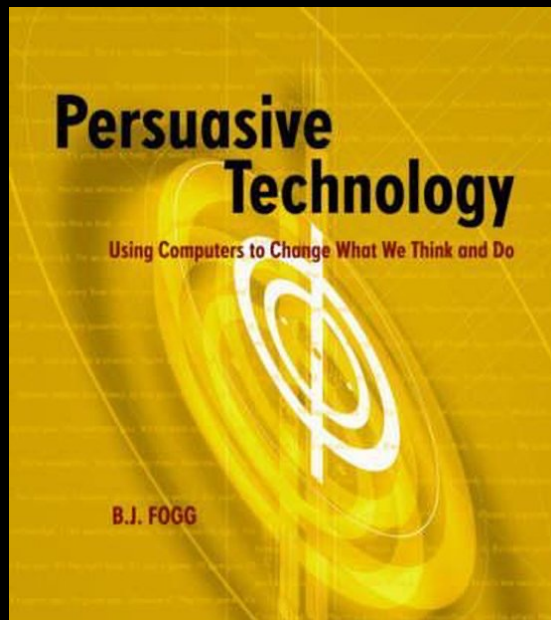
Human-centered design is a design philosophy. It means starting with a good understanding of people and the needs that the design is intended to meet. This understanding comes about primarily through observation, for people themselves are often unaware of their true needs, even unaware of the difficulties they are encountering. Getting the specification of the thing to be defined is one of the most difficult parts of the design, so much so that the HCD principle is to avoid specifying the problem as long as possible but instead to iterate upon repeated approximations. This is done through rapid tests of ideas, and after each test modifying the approach and the problem definition. The results can be products that truly meet the needs of people. Doing HCD within the rigid time, budget, and other constraints of industry can be a challenge: Chapter 6 examines these issues.

Where does HCD fit into the earlier discussion of the several different forms of design, especially the areas called industrial, interaction, and experience design? These are all compatible. HCD is a philosophy and a set of procedures, whereas the others are areas of focus (see Table 1.1). The philosophy and procedures of HCD add

“Paternalism!”

VS





“Paternalism!”



VS

## chapter 9

# The Ethics of Persuasive Technology

### Intentions: Why Was the Product Created?

One reasonable approach to assessing the ethics of a persuasive technology product is to examine what its designers hoped to accomplish. **Some forms of intentions are almost always good, such as intending to promote health, safety, or education. Technologies designed to persuade in these areas can be highly ethical.**

# The ethics in balancing control and freedom when engineering solutions for sustainable behaviour

Ida Nilstad Pettersen & Casper Boks

The rationale behind research into design for sustainable behaviour lies in the grave character of the effects of climate change and the unequal distribution of the world's resources. The situation calls for immediate action and necessitates fundamental change to the way we consume. Taboos for political intervention have until now included economic growth and individual freedom or consumer sovereignty principles. It is possible that in the end we cannot afford to keep them. However, they constitute major barriers to sustainability efforts, as these largely depend on politicians taking the lead and industry operating pro-actively – while both are under scrutiny from the general public who are believed to prefer individual freedom over collective responsibility in addressing issues of sustainability. In the case of design for sustainable behaviour, it thus becomes the task and responsibility of designers and design researchers to eradicate such barriers, by considering and investigating how individual behaviour can be influenced and changed towards more sustainable patterns of consumption, without compromising individual freedom and rights.

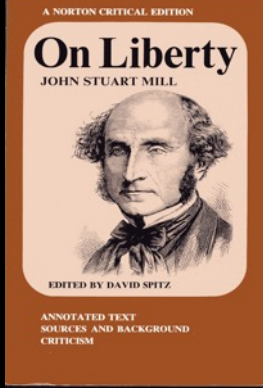
VS

“Paternalism!”

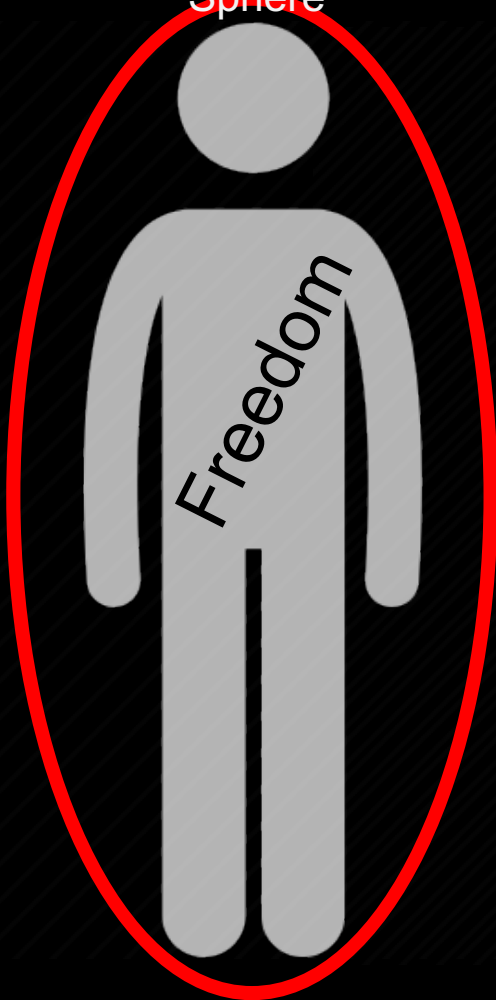




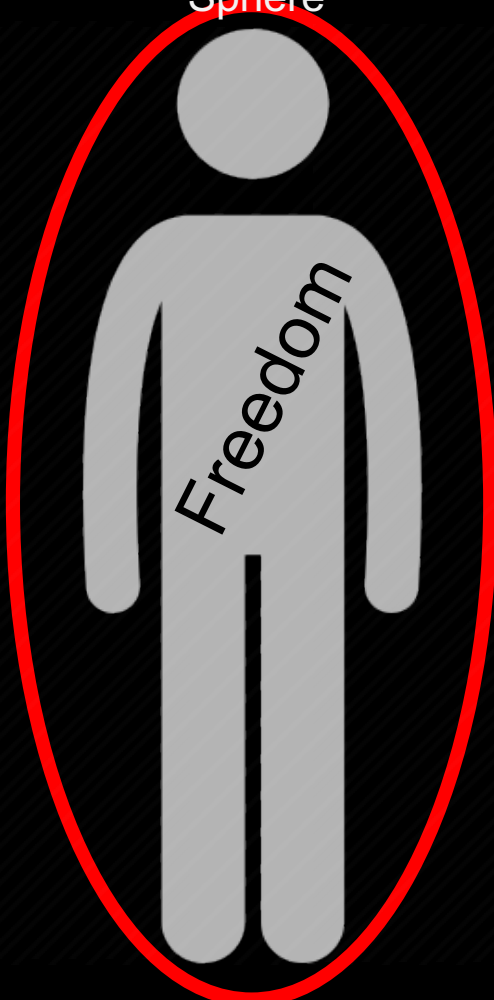
# Freedom = No Interference



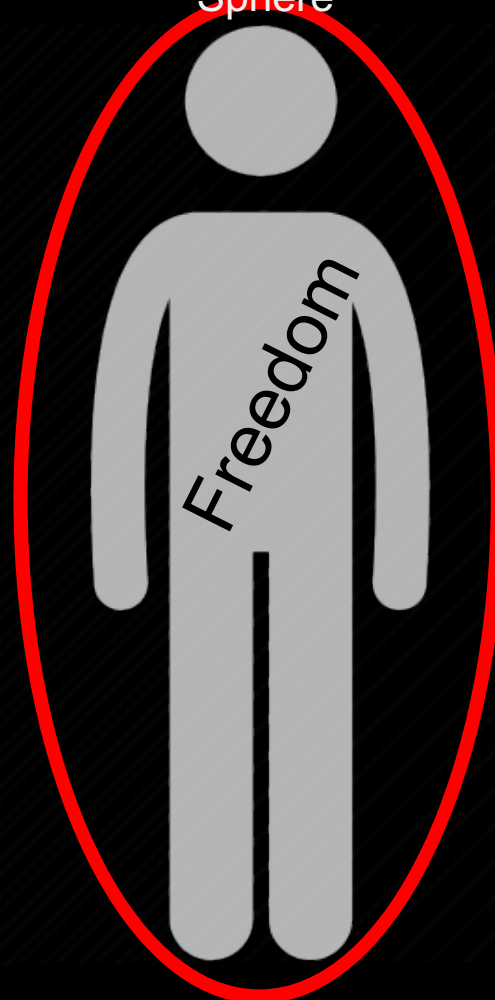
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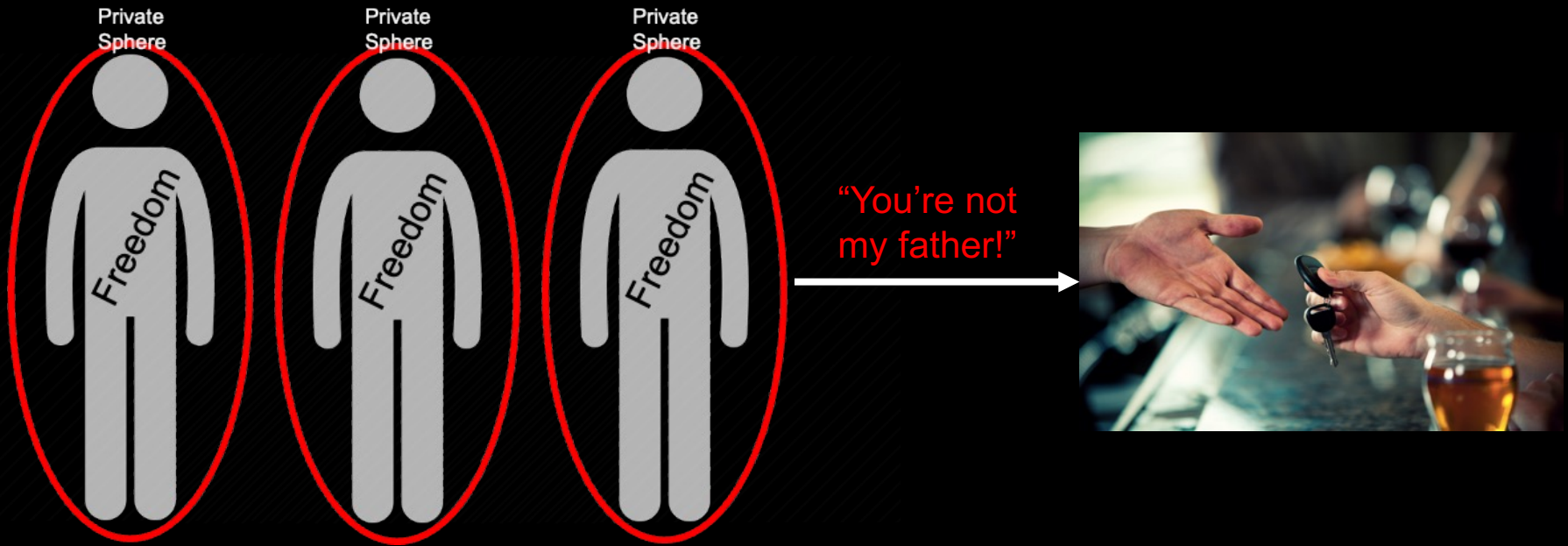
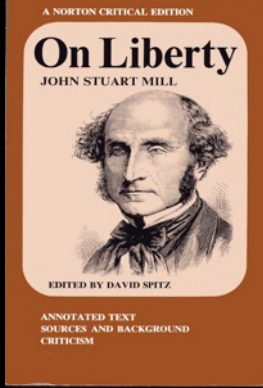
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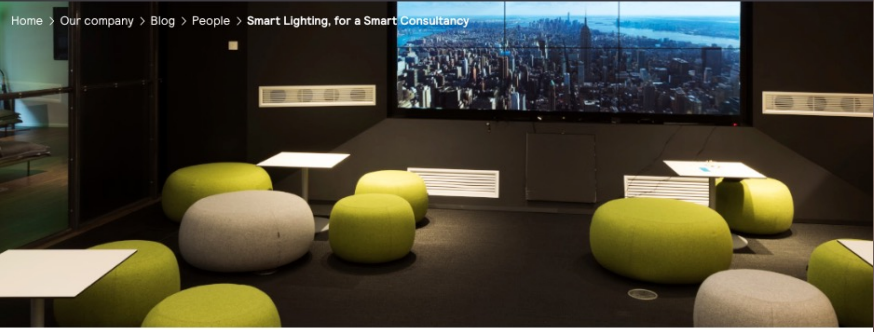


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# Freedom = No Interference

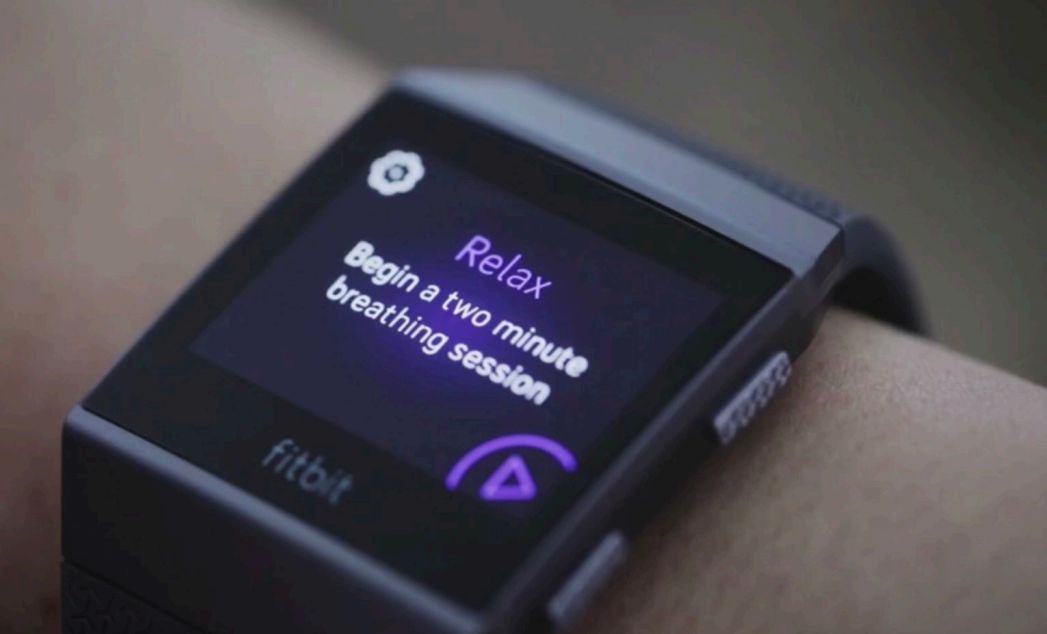




## Smart Lighting, for a Smart Consultancy

November 14, 2018

Gone are the days when offices were just seen as blocks of concrete and steel in which we work. Increasingly they've become smart. And forward-thinking organizations are capitalizing on this –transforming offices into structures for promoting employee productivity, wellbeing and competitive advantage.



## Google Maps gains new features: Eco-friendly routes, Safer routing and more

SOFTWARE UPDATES APPS GOOGLE

PUBLISHED: MAY 18, 2021, 1:42 PM


**Iskren Gaidarov**  
[@IskrenGaidarov](#)

Google Maps  
**Eco-friendly routes**

Drive  
 16 min (4.8 mi)  
 Most fuel-efficient route, the usual traffic  
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 Based on average fuel consumption for vehicles in your region. [Learn more](#)

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**Create SafeHouse Volume**



### Choose a Volume Password

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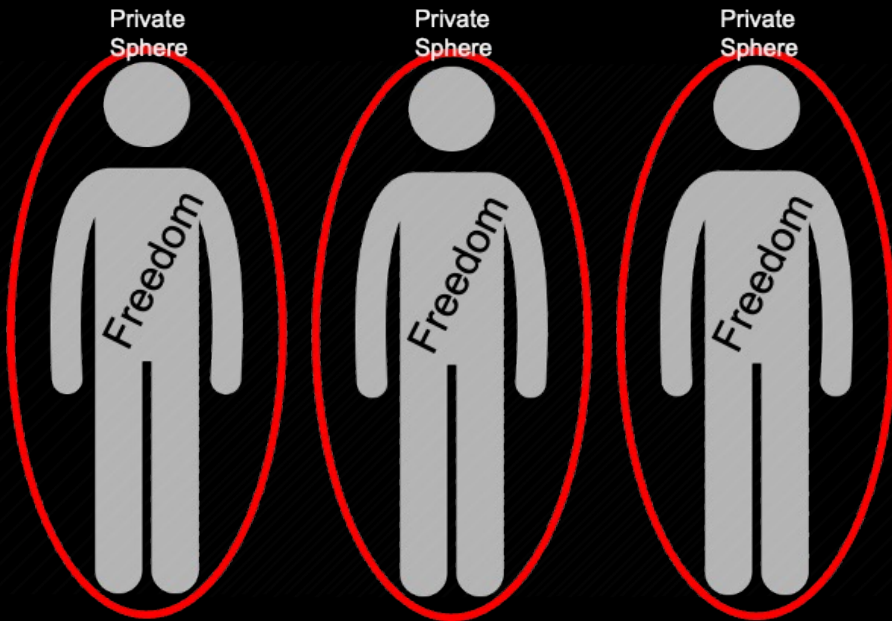
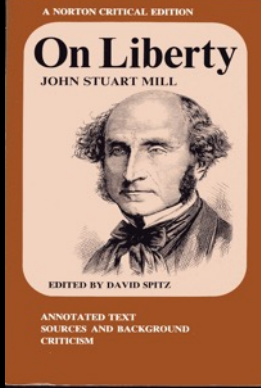
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# Freedom = No Interference



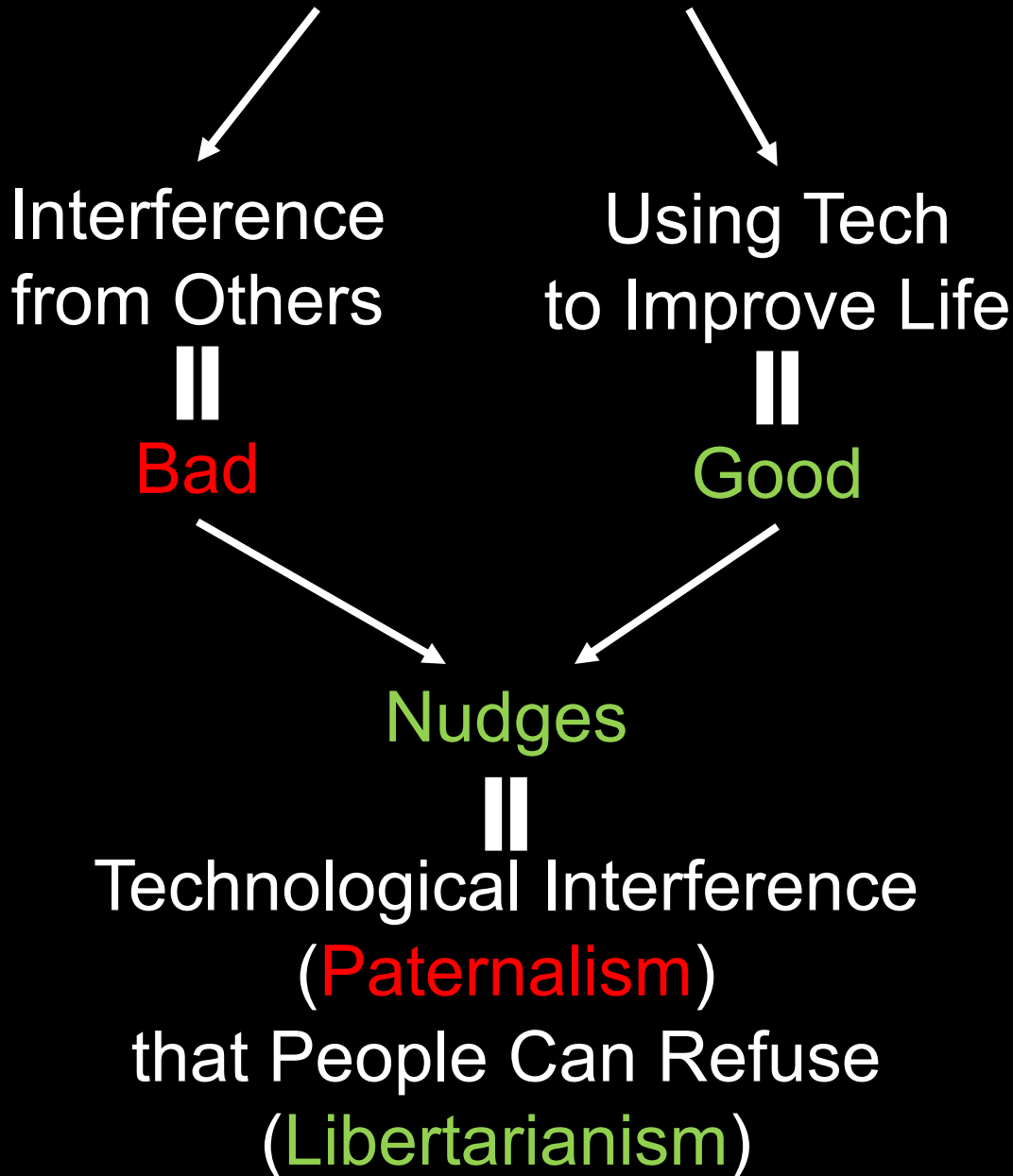
“You’re not my father!”



“You’re not a human so you’re not interfering with my freedom!”



# “Libertarian Paternalism”



Richard Thaler



Cass Sunstein

NEW YORK TIMES BESTSELLER

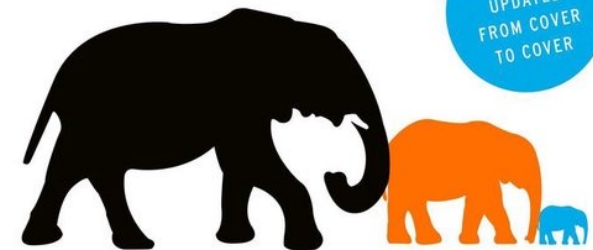
"Few books can be said to have changed the world, but *Nudge* did. The Final Edition is marvelous: funny, useful, and wise."

—DANIEL KAHNEMAN

# NUDGE

THE FINAL EDITION

REVISED AND  
UPDATED  
FROM COVER  
TO COVER



RICHARD H. THALER

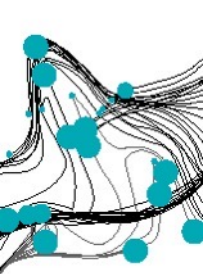
WINNER OF THE NOBEL PRIZE IN ECONOMICS

and

CASS R. SUNSTEIN

WINNER OF THE HOLBERG PRIZE





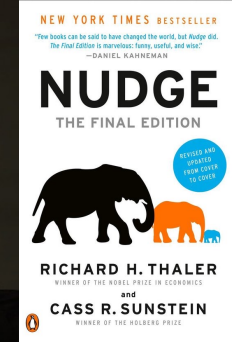
# Justification for Nudges



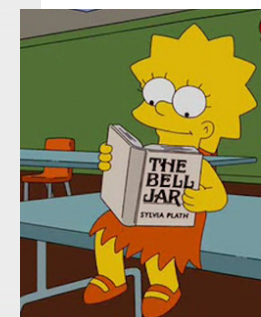
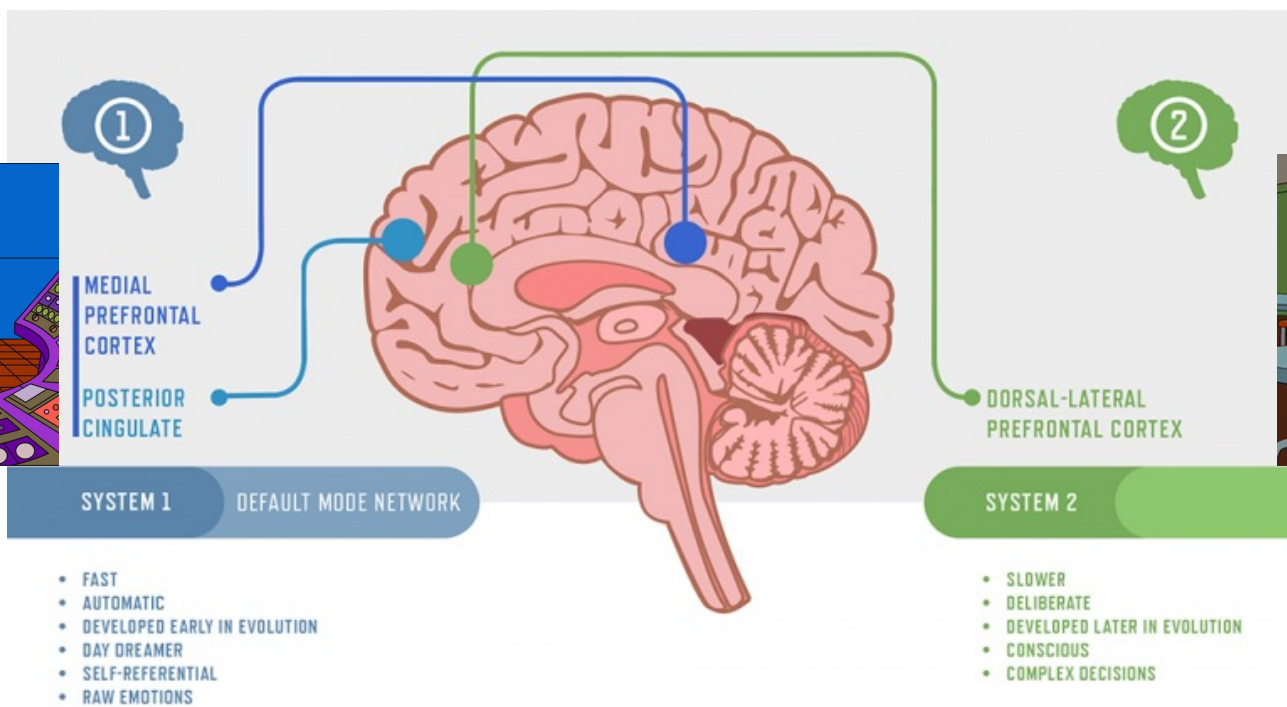
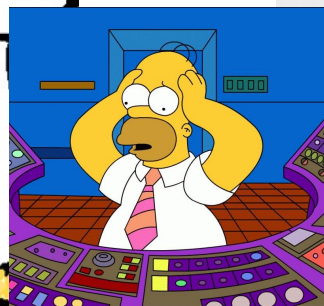
Richard Thaler



Cass Sunstein



“One of our major goals in this book is to see how the world might be made easier, or safer, for the Homers among us (and the Homer lurking somewhere in each of us). If people can rely more on their **Automatic Systems** without getting into terrible trouble, their lives should be **easier, better, and longer.**”



# Nudge



Put the healthy food  
at the front of the  
grocery store to make it  
*easier to find without thinking*



# Nudge



Make the default option to keep your health plan from last year so you don't lose insurance because *too overwhelmed to remember deadlines*

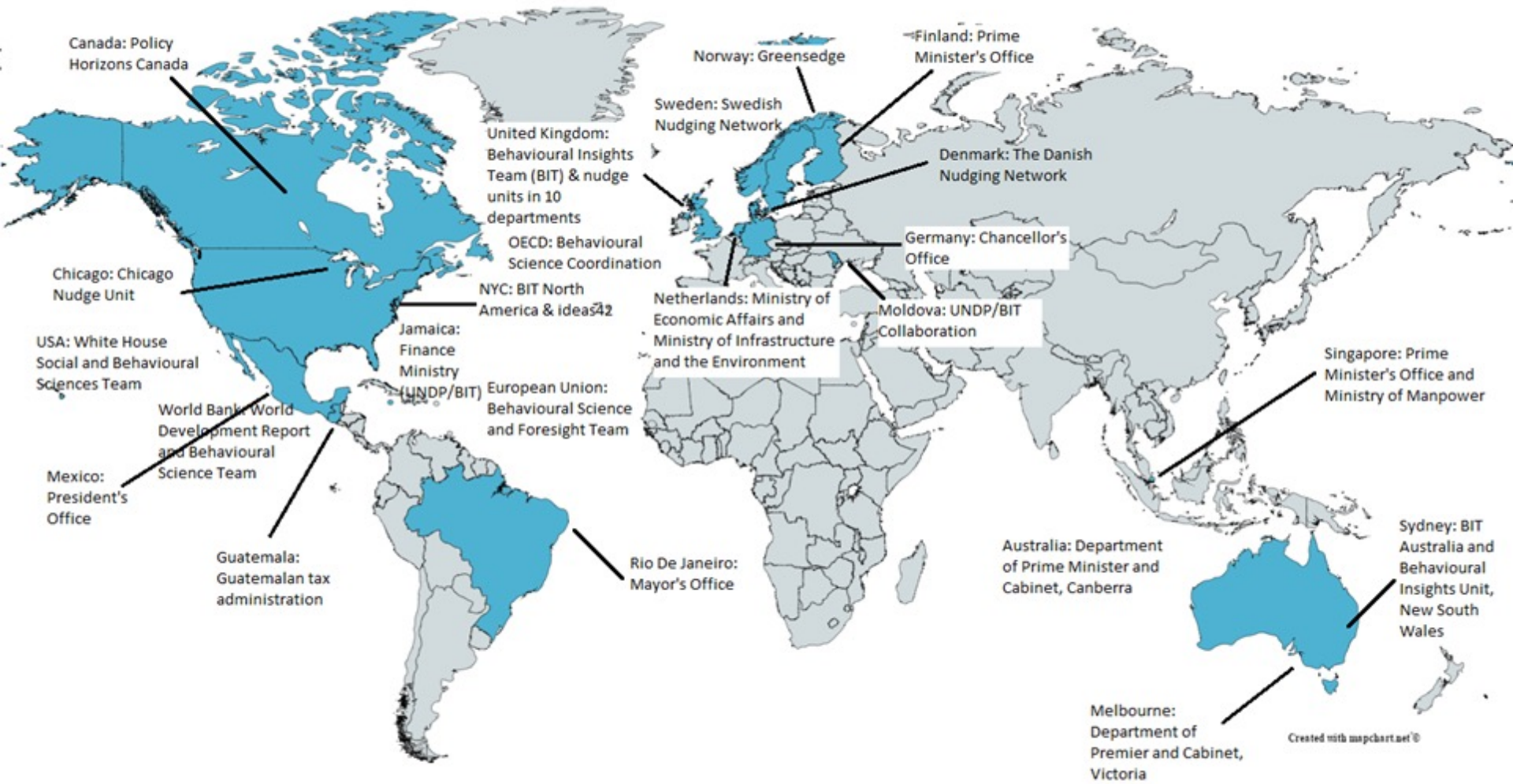


**OPT IN**

**OPT OUT**

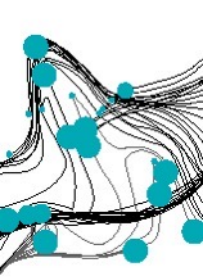


# NUDGE UNITS AROUND THE WORLD

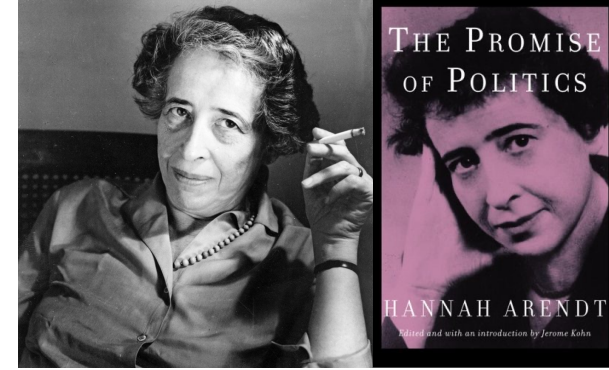


Created with mapchart.net®

Source: Behavioural Insights Team, UK.



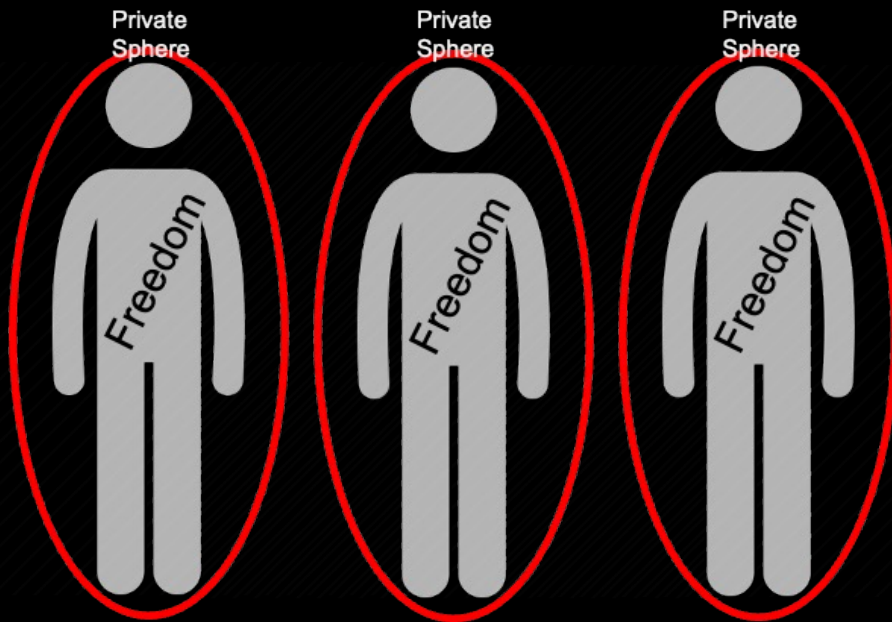
## Arendt vs. Nudges



“The modern growth of worldlessness, the withering away of everything *between* us, can also be described as the spread of the desert... **Modern psychology is desert psychology**: when we lose the faculty to judge—to suffer and condemn—we **begin to think that there is something wrong with us** if we cannot live under the conditions of desert life. Insofar as psychology tries to ‘help’ us, **it helps us ‘adjust’ to these conditions, taking away our only hope**, namely that we, who are not of the desert though we live in it, are able to **transform it into a human world.**”

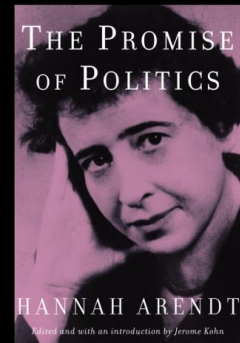
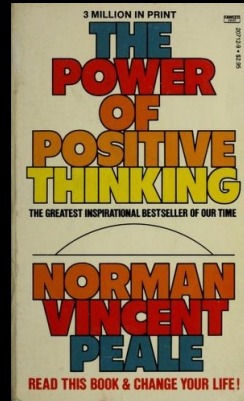


# Individualism → Desert Psychology

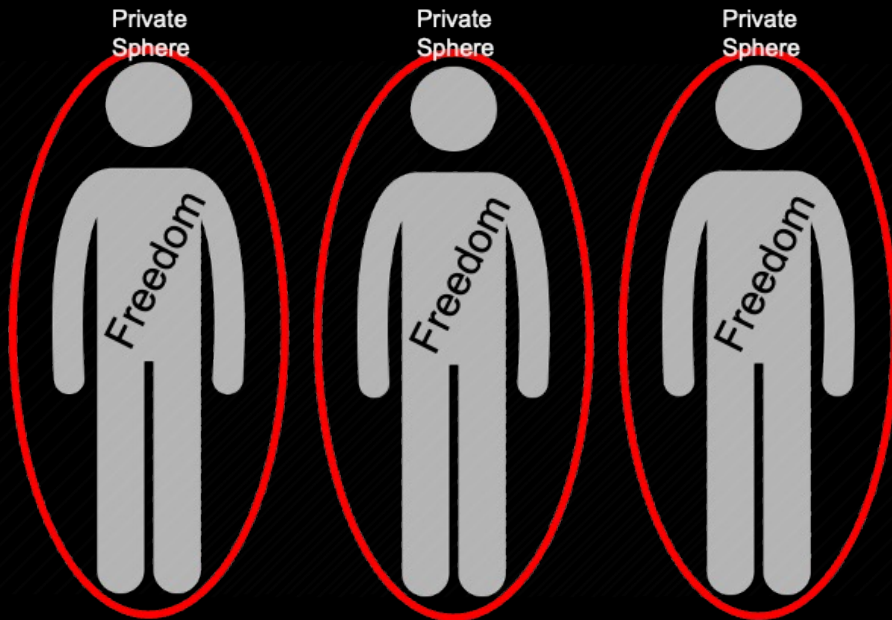


**Suffering**  
||  
Something  
wrong with me  
(Psychology)

**Suffering**  
||  
Something  
wrong with world  
(Politics)



# Individualism → Desert Technology



**Suffering**

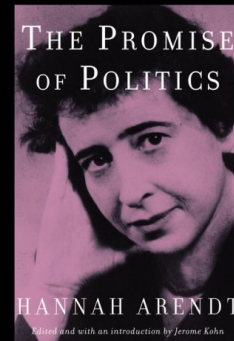
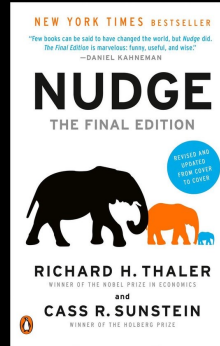


Something  
wrong with me  
(Nudges)

**Suffering**



Something  
wrong with world  
(Politics)



“Nudges!”



Richard Thaler



Cass Sunstein

VS

“Politics!”



“Nudges!”



VS

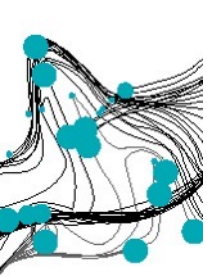


“Politics!”



“Use  
technology  
for political  
purposes!”

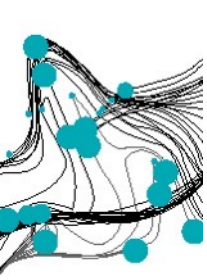




# Part II

## Beauvoir's Existential Ethics





## Beauvoir on Ambiguity

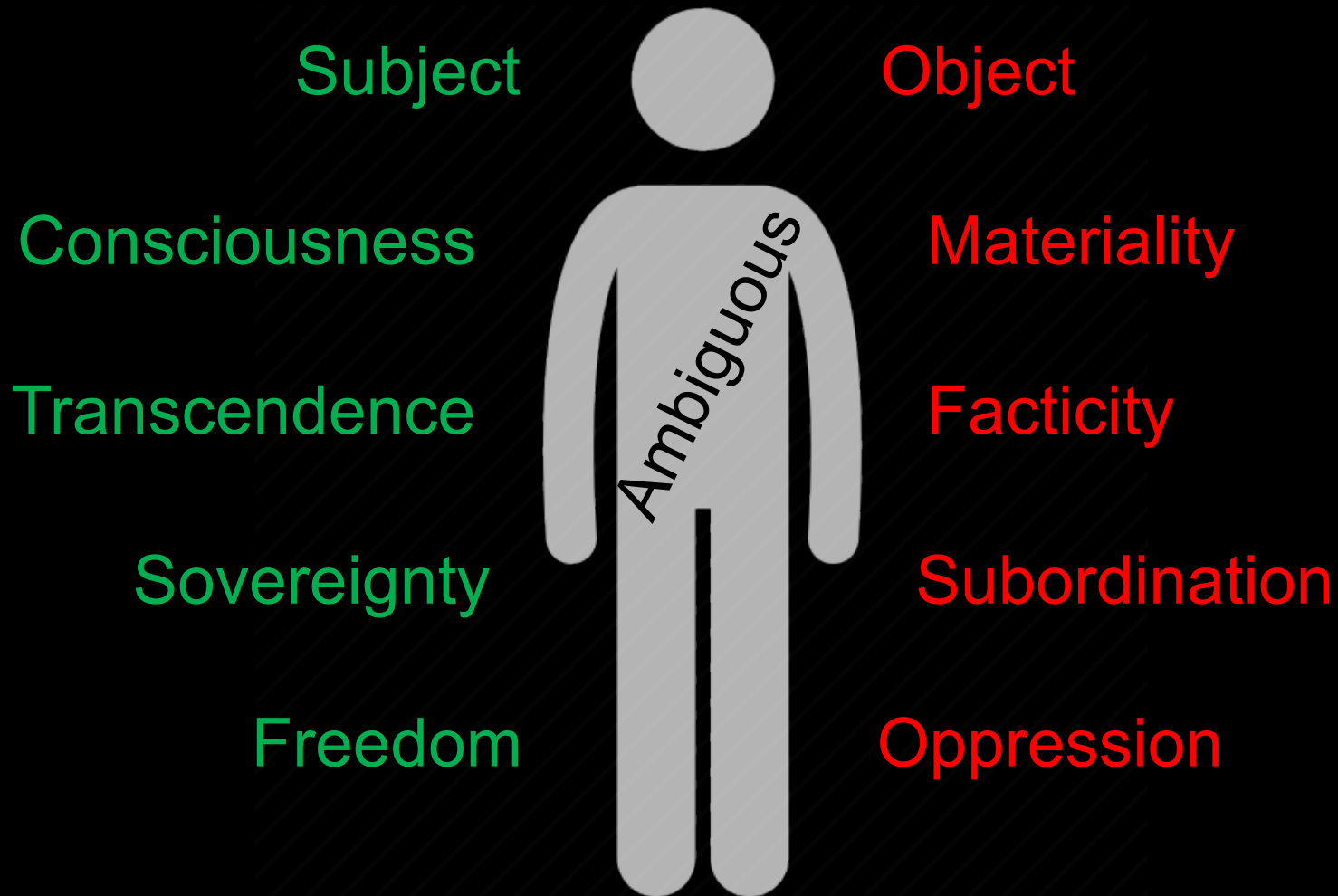
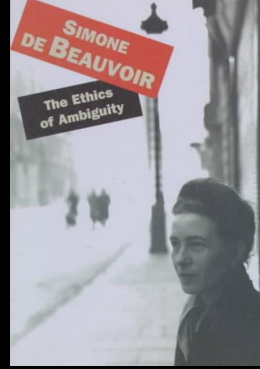


“Man knows and thinks this tragic ambivalence which the animal and the plant merely undergo. A new paradox is thereby introduced into his destiny. ‘Rational animal,’ ‘thinking reed,’ he escapes from his natural condition without, however, freeing himself from it. He is still a part of this world of which he is a consciousness. He asserts himself as a pure internality against which no external power can take hold, and he also experiences himself as a thing crushed by the dark weight of other things. At every moment he can grasp the non-temporal truth of his existence. But between the past which no longer is and the future which is not yet, this moment when he exists is nothing. This privilege, which he alone possesses, of being a sovereign and unique subject amidst a universe of objects, is what he shares with all his fellow-men. In turn an object for others, he is nothing more than an individual in the collectivity on which he depends. As long as there have been men and they have lived, they have all felt this tragic ambiguity of their condition, but as long as there have been philosophers and they have thought, most of them have tried to mask it.”

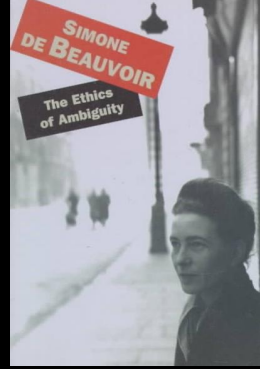




# Humanity = Ambiguity



# Evading Ambiguity



Deontological  
Ethics  
||  
Rationally  
obey  
dictates of  
Ethics  
regardless of  
situation



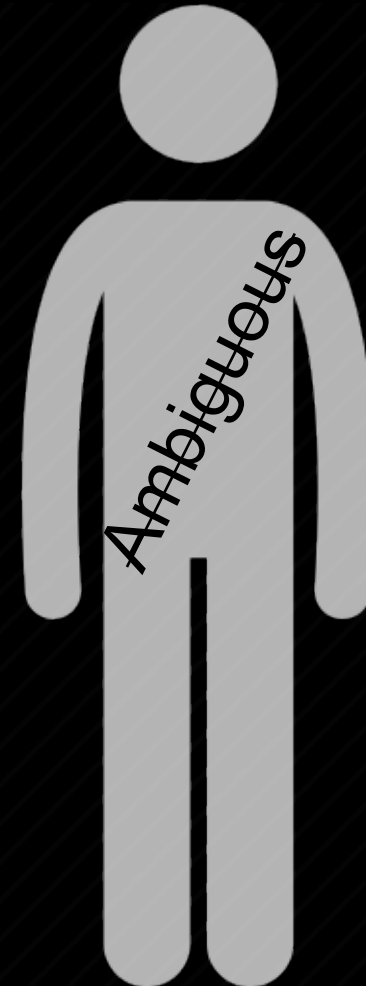
Subject

Consciousness

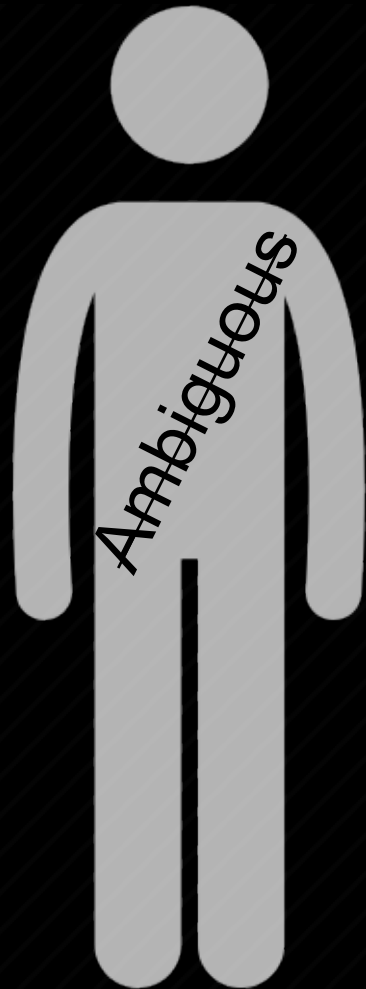
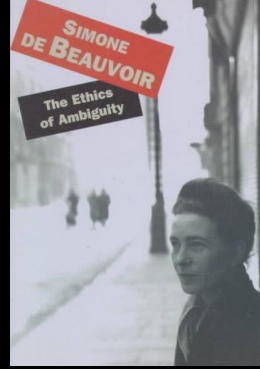
Transcendence

Sovereignty

Freedom



# Evading Ambiguity



Object

Materiality

Facticity

Subordination

Oppression

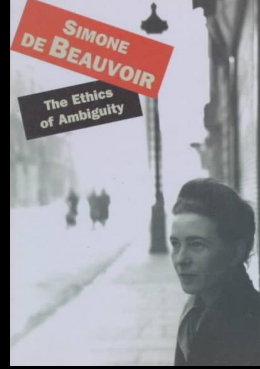
Consequentialist  
Ethics



Use

physiological  
and  
psychological  
research to  
determine best  
outcomes

# Existential Ethics



Subject

Object

Consciousness

Materiality

Transcendence

Facticity

Sovereignty

Subordination

Freedom

Oppression

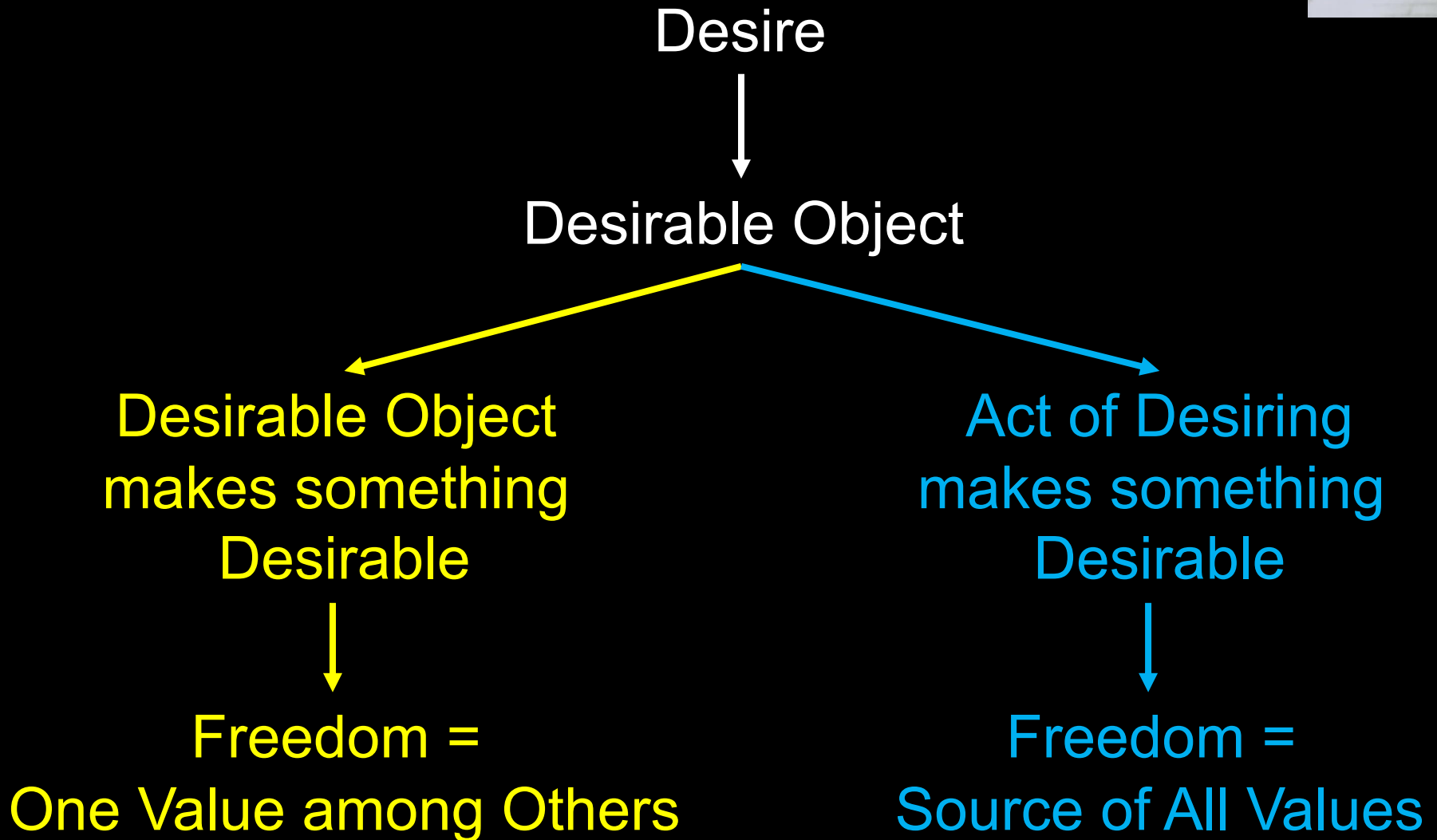
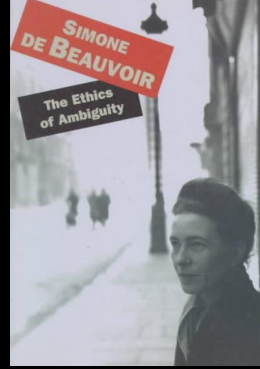
Embrace Freedom

Fight Oppression

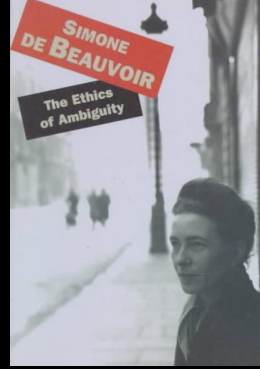
Ambiguous



# Why Embrace Freedom?



# Existential Conversion



Freedom =  
One Value among Others



Achieve Goals  
even if Freedom  
must be Sacrificed  
b/c Goal too Important  
(Serious Attitude)

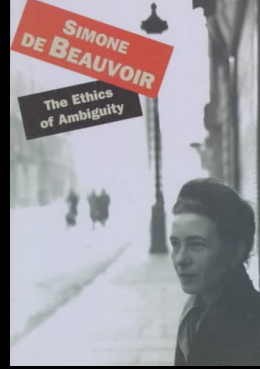
VS

Freedom =  
Source of All Values

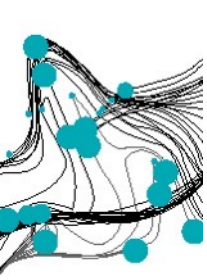


Goals only exist  
if Humans Freely  
Desire something  
as a Goal  
(Ethical Attitude)

# Existential Ethics



Ethical = Embrace Freedom + Fight Oppression



## Freedom ≠ Zero-Sum Game

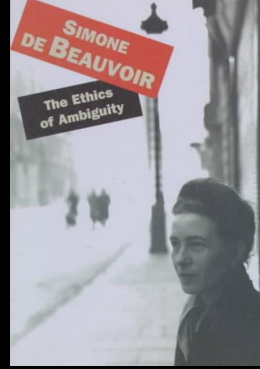


“We have to **respect freedom only when it is intended for freedom**, not when it strays, flees itself, and resigns itself. A freedom which is interested only in denying freedom must be denied. And **it is not true that the recognition of the freedom of others limits my own freedom: to be free is not to have the power to do anything you like**; it is to be able to surpass the given toward an open future; the **existence of others as a freedom defines my situation and is even the condition of my own freedom**. I am oppressed if I am thrown into prison, but not if I am kept from throwing my neighbor into prison.”





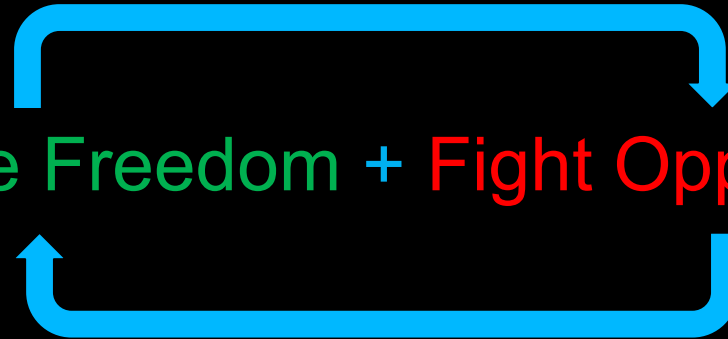
# Existential Ethics



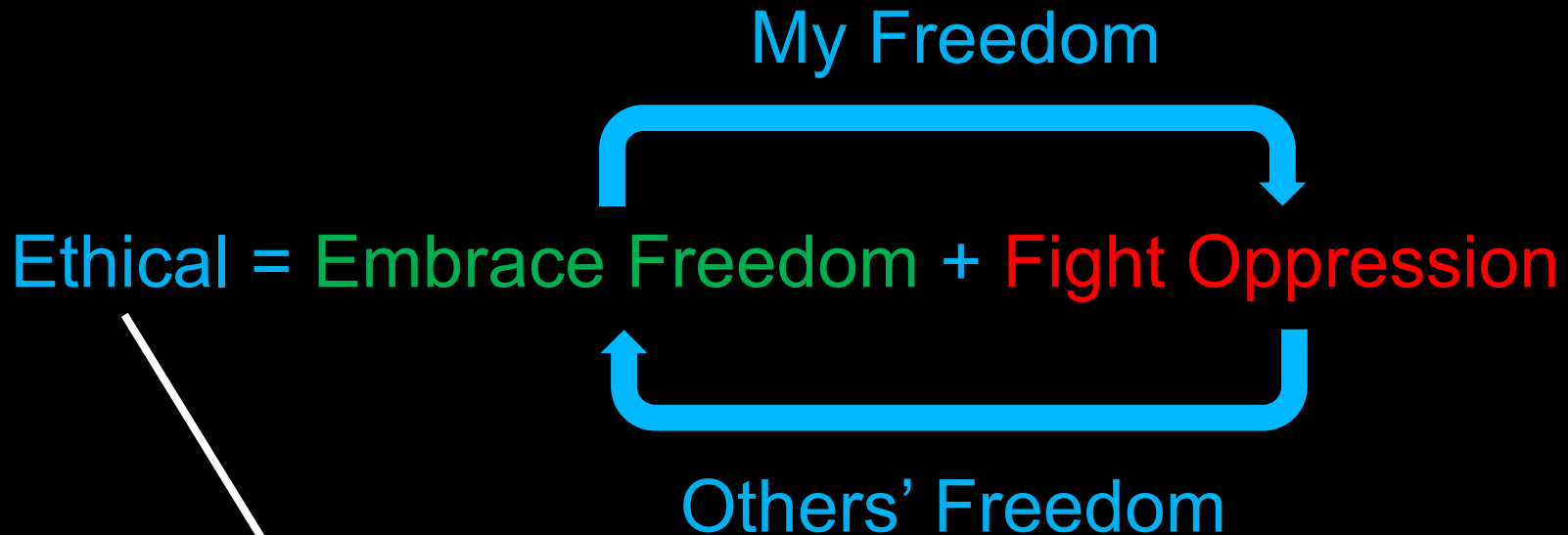
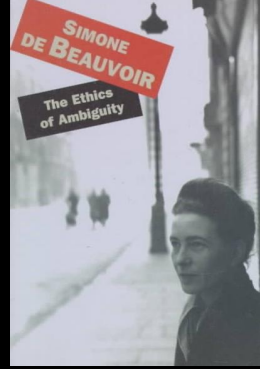
My Freedom

Ethical = Embrace Freedom + Fight Oppression

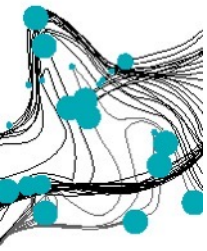
Others' Freedom



# Existential Ethics and Design?



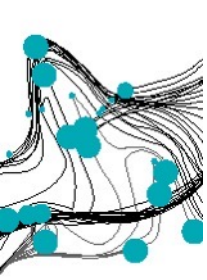
What role is there for Designers?



# Part III

## Beauvoir and Technology



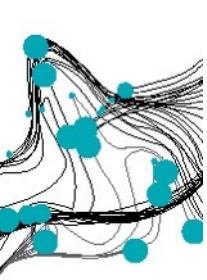


## Beauvoir vs. Technology



“...technics itself is not objectively justified; if it sets up as absolute goals the saving of time and work which it enables us to realize and the comfort and luxury which it enables us to have access to, then it appears useless and absurd, for the time that one gains can not be accumulated in a store house; it is contradictory to want to save up existence, which, the fact is, exists only by being spent, and there is a good case for showing that airplanes, machines, the telephone, and the radio do not make men of today happier than those of former times. But actually it is not a question of giving men time and happiness, it is not a question of stopping the movement of life: it is a question of fulfilling it. If technics is attempting to make up for this lack, which is at the very heart of existence, it fails radically...”





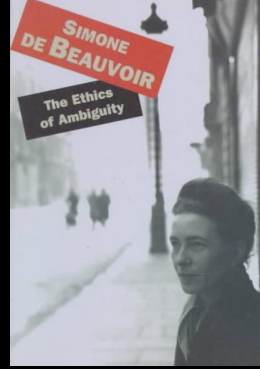
## Beauvoir vs. Technology



“And certainly the proletarian is no more naturally a moral man than another; he can flee from his freedom, dissipate it, vegetate without desire, and give himself up to an inhuman myth; and the **trick of ‘enlightened’ capitalism is to make him forget about his concern** with genuine justification, offering him, when he leaves the factory where a mechanical job absorbs his transcendence, **diversions** in which this transcendence ends by petering out: there you have the politics of the American employing class which **catches the worker in the trap of sports, ‘gadgets,’ autos, and frigidaires.**”



# Fantasy vs Freedom

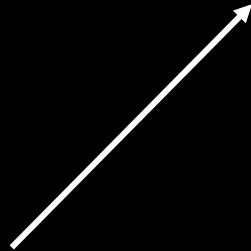


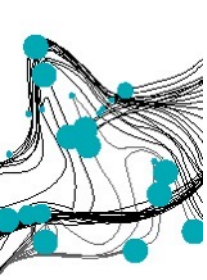
- ↑ Efficiency
- ↑ Comfort
- ↑ Luxury
- ↑ Happiness



≠ Ethical = Promotes Fantasy of Fulfillment (danger of nihilism)

**Technology**





# Beauvoir pro Technology

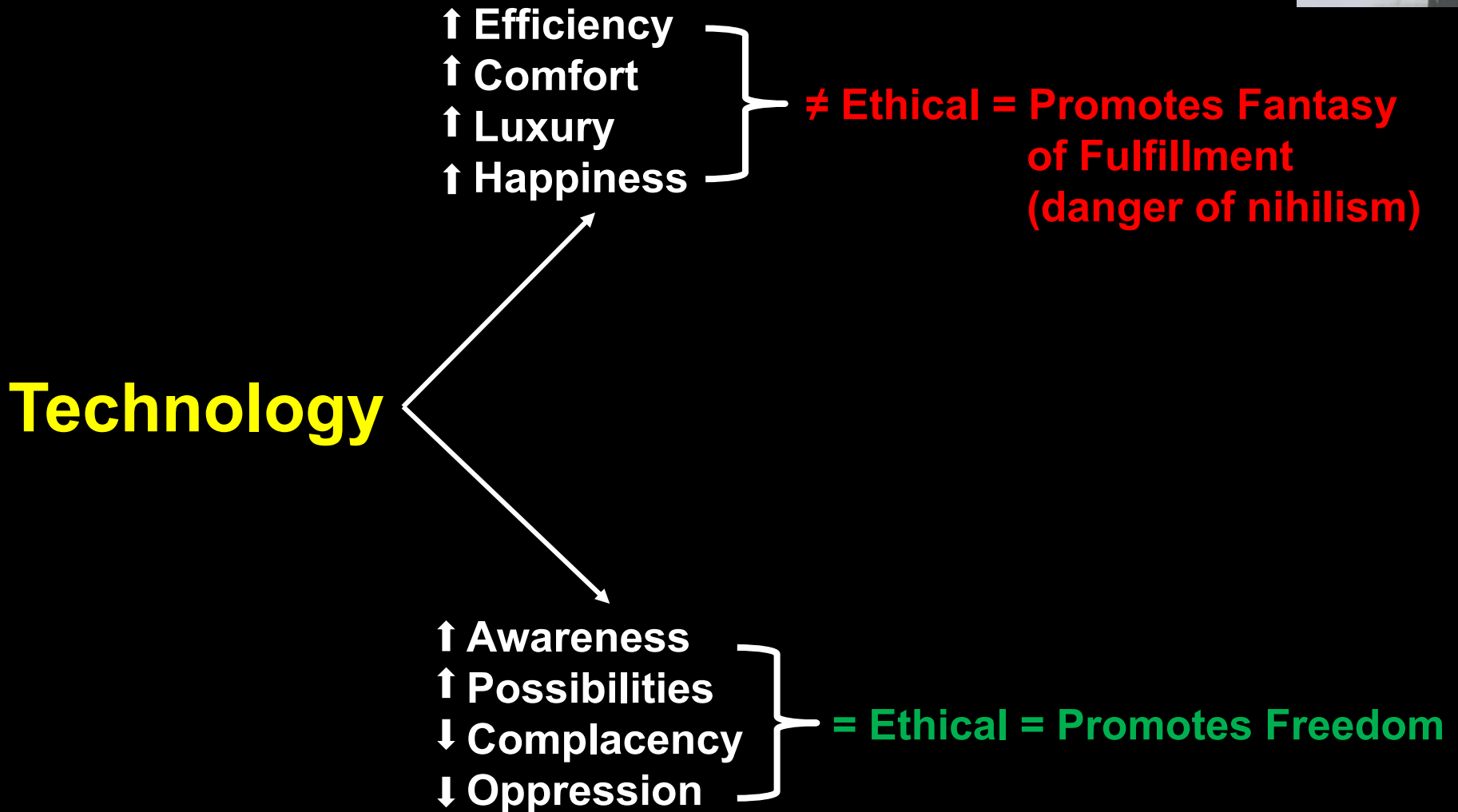
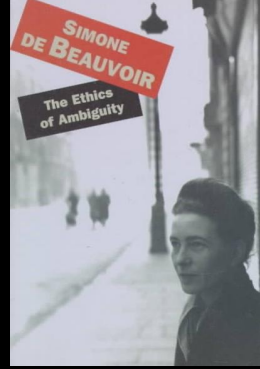


“...but it [technology] escapes all criticism if one admits that, through it, existence, far from wishing to repose in the security of being, thrusts itself ahead of itself in order to thrust itself still farther ahead, that it aims at an indefinite disclosure of being by the transformation of the thing into an instrument and at the opening of ever new possibilities for man...”

...the constructive activities of man take on a valid meaning only when they are assumed as a movement toward freedom; and reciprocally, one sees that such a movement is concrete: discoveries, inventions, industries, culture, paintings, and books people the world concretely and open concrete possibilities to men...”

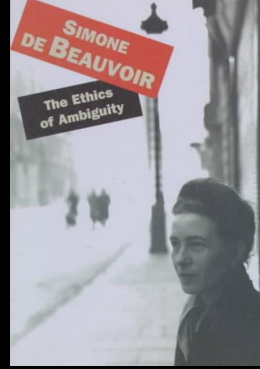


# Fantasy vs Freedom



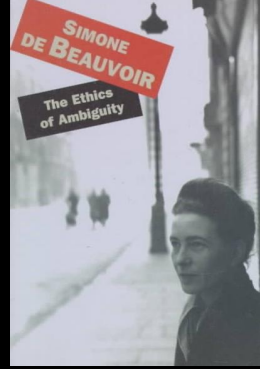


# How Design can be Ethical



**Technology = Ethical = Promotes Freedom**

# How can Design be Ethical?

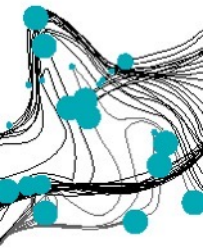


**My Freedom**

**Technology = Ethical = Promotes Freedom**

**Others' Freedom**





# Part IV

## Freedom by Design





## WHAT IS FREEDOM BY DESIGN™?

Freedom by Design™, the AIAS community service program in partnership with the National Council of Architectural Registration Boards (NCARB), uses the talents of architecture students to radically impact the lives of people in their community through modest design and construction solutions. The program embraces efforts to provide both **design-build** and **engagement** solutions to address 5 barriers:

- Physical
- Educational
- Environmental
- Socio-Economic
- Cultural

Freedom by Design™ (FBD) encourages students to serve their communities by addressing issues with design solutions. FBD provides real-world experience through working with clients, learning from local licensed architects and contractors, and experiencing the practical impacts of architecture and design.

All AIAS Chapters are encouraged to establish a Freedom by Design program at their university. Please see how you can get involved below.

### FREEDOM BY DESIGN SPONSORS



May 4, 2022

# Freedom by Design Student Chapter Designs Shelters for Safe Outdoor Spaces

Written by [Carmen New](#), College of Architecture and Planning

**STORY FEATURED IN:**  
*College of Architecture  
and Planning News* >

In 2020, the Denver City Council approved a measure to allow [sanctioned campsites](#) for those experiencing homelessness to be established within the city limits. When Richard McSwain, Master of Architecture student and the CU Denver American Institute of Architecture Students (AIAS) [Freedom by Design](#) Director, learned about the sanctioned campsites, he began making phone calls to see how the student organization could get involved to [make the communities more dignified places to live](#).



CVC has three temporary, operational shelter sites, and they plan to add additional sites this summer. Currently, fishing tents provide residents shelter but do little to protect residents from challenges presented by the weather.

As the Freedom by Design team learned more about the needs of the sites, they began designing to [meet three goals—to address the need for heated handwashing stations, to provide a healthier gathering space, and to waterproof the shelters](#).



**SHELTER PROPOSAL FOR COLORADO VILLAGE COLLABORATIVE'S SAFE OUTDOOR SPACES**



**EXISTING ISSUES**



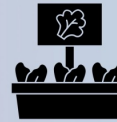
**SHADE**



**ENTRY**



**HEATING/COOLING**

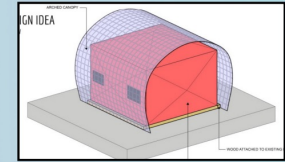


**PLANTERS FOR FOOD**

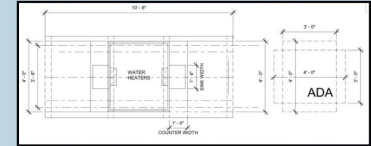


**ELECTRICITY DISTRIBUTION**

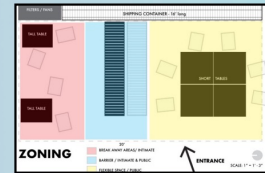
**EVOLVING PROBLEMS**



**WATER PROOFING**  
The tent doesn't work



**HANDSINKS**  
More than clean hands - a grooming station. Its about self love and reflection



**VENTILATION**  
Smoking is about community. Community is what holds everything together.

**EXISTING CONDITIONS**



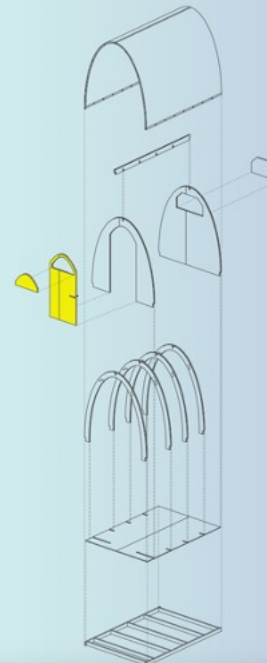
**BRIEF DESCRIPTION:**

We focused on three fundamental goals to design a safe single occupant shelter:

**DIGNITY**  
This shelter uses quality materials. The space is designed to serve many needs while the shape defines a unique and consistent design language for a community.

**TRANSPORTABILITY**  
The shelter can be delivered, assembled, disassembled, relocated, and reassembled by a two person team of novice builders with a pick-up truck. It leaves no foot print behind when it is relocated.

**COST**  
The tents currently in use last about a year, but this shelter is durable enough to last seven to ten years for half the price of the tent replacements over the same amount of time. The shell offers better protection from the elements compared to the current solution.



**COMPONENTS**

**INSULATED CANVAS**  
The shelter's exterior and interior are all constructed from a heavy-duty, weather-resistant material with reinforced seams and reinforced corners for extra support.

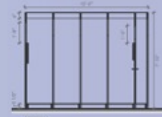
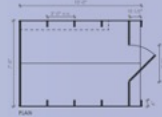
**RIDGE BEAM**  
The ridge beam runs the length of the shelter, connecting the end walls and ribs to provide structural support.

**END WALLS + OPENINGS**  
The end walls are made of wood and feature a door and a window. The openings are reinforced with wood framing and a door.

**RIBS**  
The shelter's ribs are made of aluminum and are designed to support the weight of the canopy and provide structural support.

**FLOOR FINISH**  
The floor is made of plywood that is treated with a water-resistant finish.

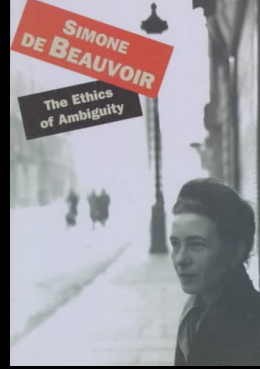
**FLOOR BASE**  
The floor base is made of wood and provides a stable foundation for the shelter.



**MATERIAL LIST**

- 2 qty 4 x 10 Plywood Sheets
- 12 qty 4 x 8 Plywood Sheets
- 6 qty 2 x 6 Treated Lumber
- 1 qty Custom made water resistant and insulated fabric by Denver Tent
- 36qty Canvas hooks

# How can Design be Ethical?



**My Freedom**



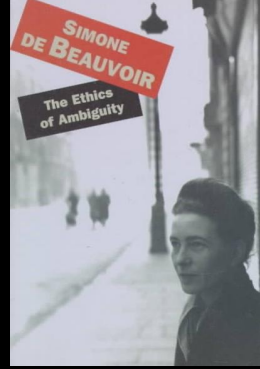
**Technology = Ethical = Promotes Freedom**



**Others'  
Freedom**



# How can Design be Ethical?



**My Freedom**

**Freedom-  
by-Design**

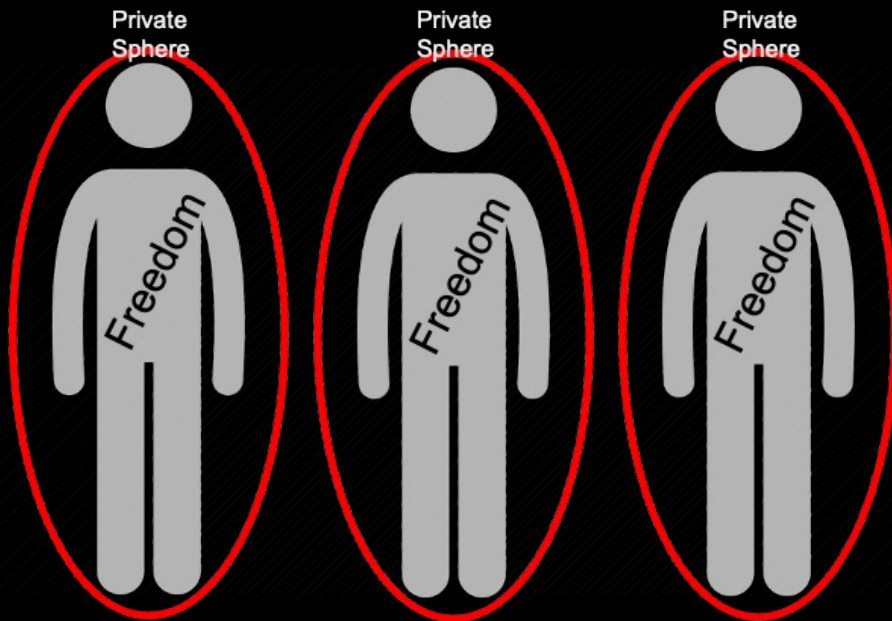
**= Ethical? = Promotes Freedom?**

**Others'  
Freedom**



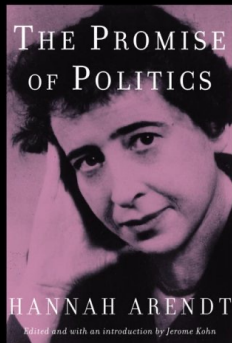
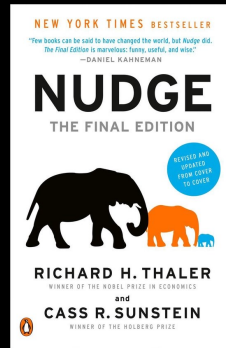


# Individualism → Desert Technology

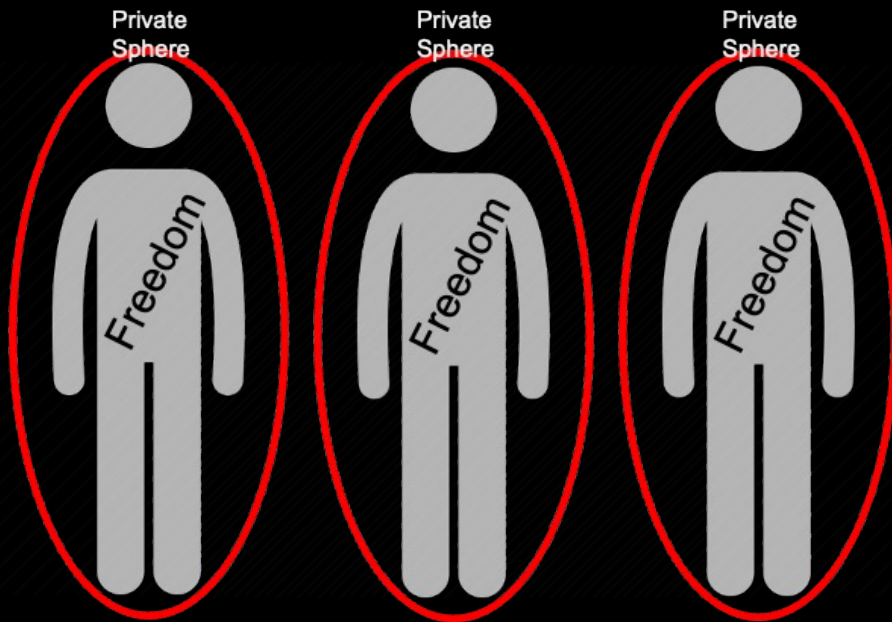


**Suffering**  
||  
Something  
wrong with me  
(Nudges)

**Suffering**  
||  
Something  
wrong with world  
(Politics)



# Individualism → Desert Technology



**Homelessness**

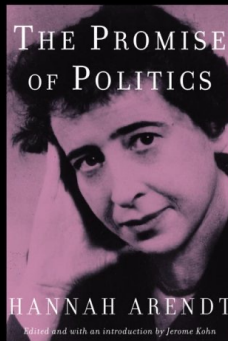


Need better  
shelters for the  
homeless  
(FBD)

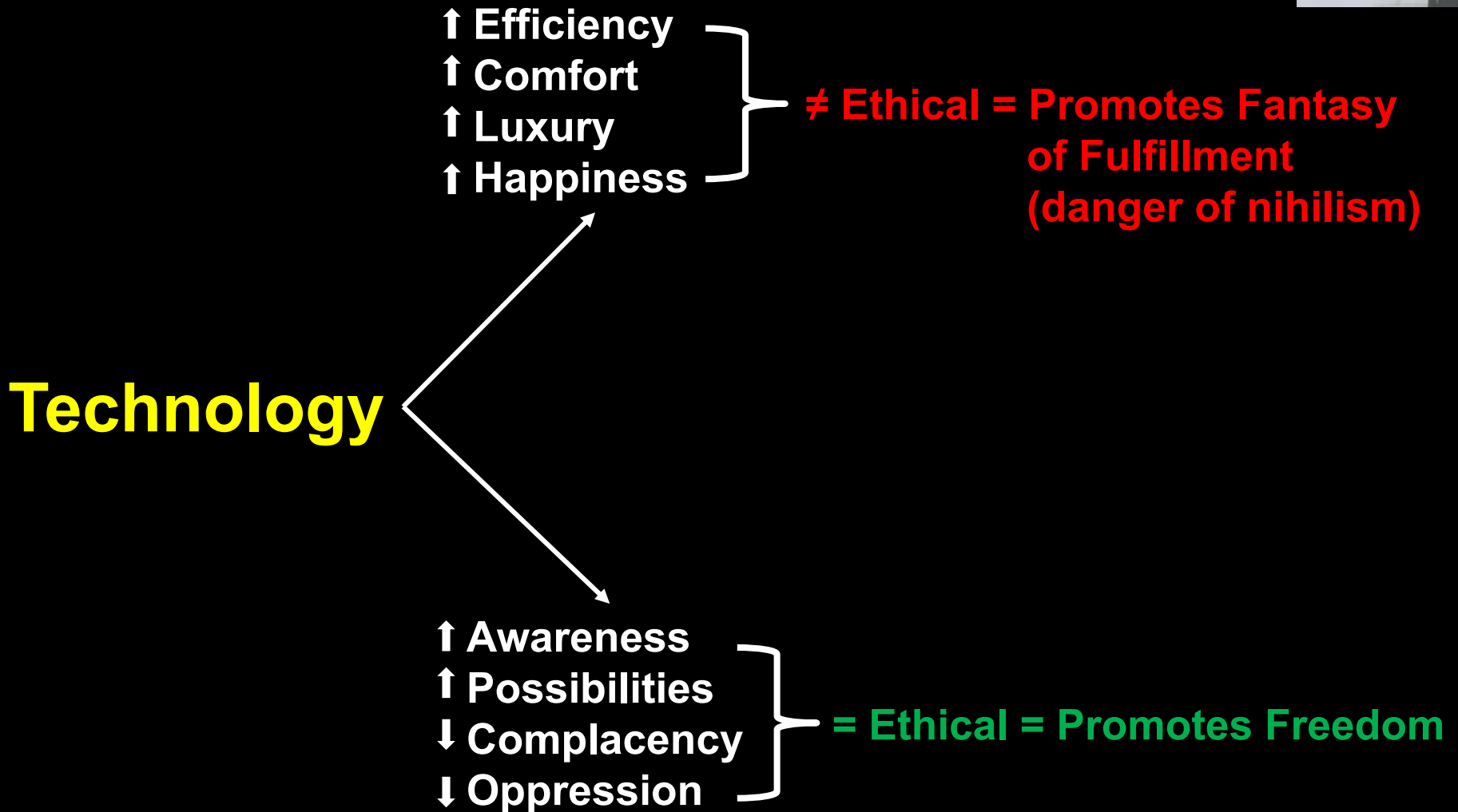
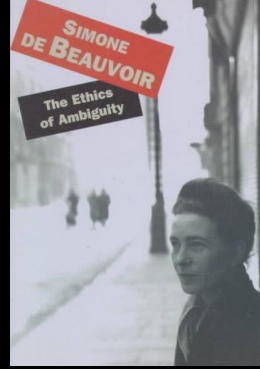
**Homelessness**



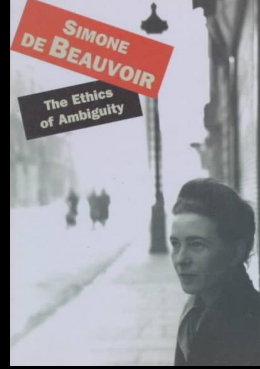
Need to  
prevent  
homelessness  
(Politics)



# Fantasy vs Freedom



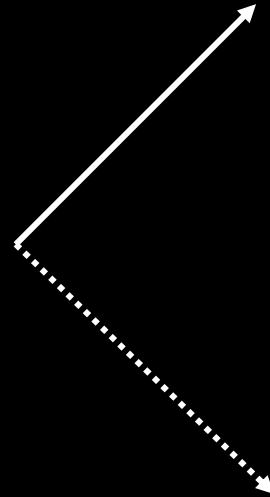
# Freedom-by-Design vs Freedom



- ↑ Efficiency
- ↑ Comfort
- ↑ Luxury
- ↑ Happiness

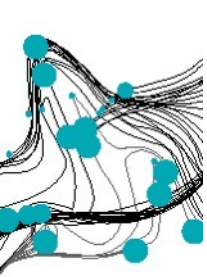


## Freedom-by-Design



- ↑ Awareness
- ↑ Possibilities
- ↓ Complacency
- ↓ Oppression



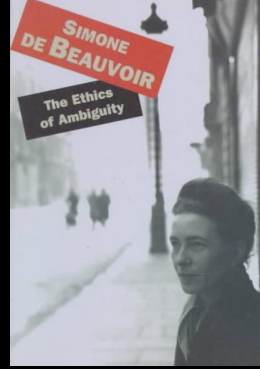


# Part V

## Designing Freedom



# How can Design be Ethical?



**My Freedom**

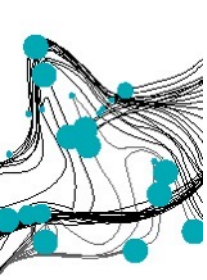


**Technology = Ethical = Promotes Freedom**



**Others'  
Freedom**





## Ethics ≠ Recipes



“It will be said that these considerations remain quite abstract. What must be done, practically? Which action is good? Which is bad? To ask such a question is also to fall into a naïve abstraction. We don’t ask the physicist, ‘Which hypotheses are true?’ Nor the artist, ‘By what procedures does one produce a work whose beauty is guaranteed?’ Ethics does not furnish recipes any more than do science and art. One can merely propose methods.”



# GRADUATE INSTITUTE RESEARCH ETHICS CHECKLIST

	Yes	No
➤ Will the research involve topics that may be deemed medically or culturally sensitive (e.g. sexual behaviour or preference, experience of violence or abuse, mental health, use of medical or biological data, ethnic status, religious belief)?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Could the research cause harm or entail negative consequences for vulnerable groups of people or communities?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Could the research cause harm, induce physical or psychological stress, entail risks to participants or third parties beyond what can reasonably be expected in everyday life?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research involve participants who are particularly vulnerable or unable to give informed consent (e.g. children, inmates, adults with learning difficulties, physical or mental impairments)?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research require the cooperation of a "gatekeeper" (e.g. an authority figure who has the power to grant access to groups and individuals, possibly without their informed consent)?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will it be necessary for participants to take part in the research without their knowledge and consent at the time of participation (e.g. research involving deception or covert observation)?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will material or financial inducements (other than reasonable expenses and compensation for time) be offered to participants?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research involve collecting confidential, protected or otherwise sensitive data?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research involve the internet or other visual/vocal methods (e.g. recordings, pictures, videos) where people may be identified?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research require approval from competent authorities to collect data from public or private institutions (e.g. schools, charities, prisons, hospitals, government agencies)?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research involve investigation of illegal conduct or criminal offences?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research involve collection of medical or biological data?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Will the research take place in politically sensitive, conflictual or dangerous environments or expose investigators to potential risks, harm or injury?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Could the research entail or lead to conflicts of interest (e.g. financial sources compromising the independence or objectivity of the research)?	<input type="checkbox"/>	<input type="checkbox"/>
➤ Could the image of the Graduate Institute, its academic departments or research centres, or any other institution involved in the project be negatively affected by this research?	<input type="checkbox"/>	<input type="checkbox"/>

## The Behavioral Scientist's Ethics Checklist

Principle	Goal	Risk if ignored
#1: Aligned Interests	The company, researchers, and participants' interests are aligned.	Participants may do things that contrary to their interests. Loss trust in the company.
#2: Transparent Processes	Participants understand the plan and purpose of the research.	Loss of trust in the research process. Increased oversight on the company.
#3: Rigorous Evaluation	Rigorous research design and evaluation so participant time is not wasted and the company can learn and improve.	Waste of participant and company time, effort, and resources. Lack of improvement and support for future research.
#4: Data Privacy and Security	Robust data privacy and security protocols to protect participants' information.	Participant's sensitive data is breached. Company faces legal problems.
#5: Ease of Opt Out	Participants understand how to opt out and can do so simply.	Participants feel forced or coerced to participate in the company.
#6: Cost-Benefit Analysis	Potential benefits to the participants outweigh potential harms.	Participant company and participants are harmed.

Principle	Question	✓ or ✗
#1: Aligned Interests	Are the interests of our company, researchers, and participants aligned?	
#2: Transparent Processes	Is our research process transparent to the participants?	
#3: Rigorous Evaluation	Does our study design and evaluation plan allow us to evaluate the effectiveness of the research?	
#4: Data Privacy and Security	Do we have a data management plan that protects the privacy and anonymity of our participants?	
#5: Ease of Opt Out	Can our participants easily opt out of the study?	
#6: Cost-Benefit Analysis	Do the potential benefits of our study outweigh the potential harms?	

Authors: Jon M. Jachimowicz (Columbia), Vyacheslav Polonski (Oxford), & Sandra Matz (Columbia)  
 Contact: jon.jachimowicz@gh.columbia.edu

## Community Engagement Design Checklist

This checklist has been developed to promote good practice and to quality assure staff-led community engagement work. It covers both legal and ethical issues, such as data protection and informed consent.

The checklist is a self-assessment form which is designed to help staff who are planning engagement work.

This checklist contains:

## AI APP PROJECT CHECKLIST

Name of the Project: \_\_\_\_\_

**1 Goal**

Client: \_\_\_\_\_

**2 Team Accountable for App**

MOBGEN | Accenture Interactive

**3 Context of the Project**

eg. All applications for healthcare

**4 Stakeholders Impacted**

Primary: \_\_\_\_\_

Unexpected/Unintended: \_\_\_\_\_

**5 Type of Impact**

The AI application will have one or more areas of impact, e.g. AI powered restaurant reviews impact reputation and financial health.

Financial  Property  Privacy

Emotional  Reputation  Liberty / Freedom

Access to goods  Life / Safety  Rights / IP

**6 AI Algorithm & Learning Model used**

**7 Data Type**

Human Data  Non-Human Data

**8 Data Source**

GDPR compliant  Trustworthy

**9 Ethical Principles Checklist (Order by relevance for project)** High(+)/ Med(0)/ Low(-)

Data Privacy	Honest Communication	Human Well-Being
Data Safety	Accountability	Transparency
Explainability	Fairness	User Safety
Transparency	Value Alignment	



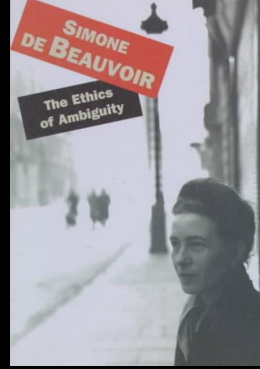
The SciTech Lawyer



# Legal and Ethics Checklist for AI Systems



# How can Design be Ethical?



**My Freedom**

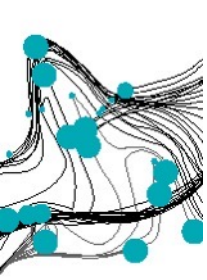


**Technology = Ethical = Promotes Freedom**



**Others'  
Freedom**





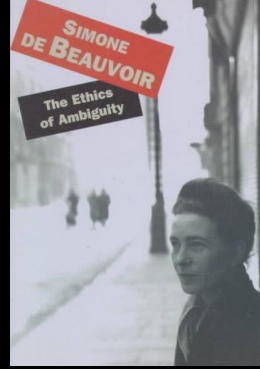
## Promoting Freedom ≠ Diversions



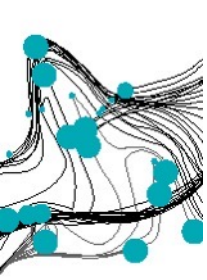
“And certainly the proletarian is no more naturally a moral man than another; he can flee from his freedom, dissipate it, vegetate without desire, and give himself up to an inhuman myth; and the **trick of ‘enlightened’ capitalism is to make him forget about his concern** with genuine justification, offering him, when he leaves the factory where a mechanical job absorbs his transcendence, **diversions** in which this transcendence ends by petering out: there you have the politics of the American employing class which **catches the worker in the trap of sports, ‘gadgets,’ autos, and frigidaires.**”



# How can Design be Ethical?



**1) Don't design "diversions"**



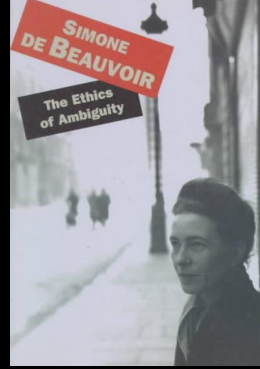
## Promoting Freedom ≠ Fascism



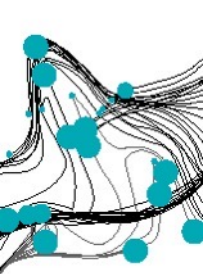
“We object to the inquisitors who want to create faith and virtue from without; we object to all forms of **fascism** which seek to fashion the happiness of man from without; and also the **paternalism** which thinks that it has done something for man by prohibiting him from certain possibilities of temptation, whereas **what is necessary is to give him reasons for resisting it.**”



# How can Design be Ethical?



- 1) Don't design "diversions"**
- 2) Give reasons for resisting oppression rather than manipulating people (≠nudges)**



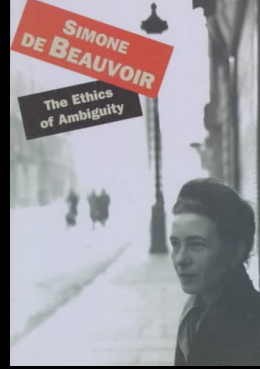
## Promoting Freedom ≠ Charity



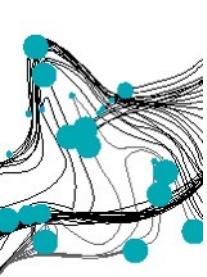
“What must be done is to furnish the ignorant slave with the means of transcending his situation by means of revolt, to put an end to his ignorance. We know that the problem of the nineteenth-century socialists was precisely to develop a class consciousness in the proletariat; we see in the life of Flora Tristan, for example, how thankless such a task was: what she wanted for the workers had first to be wanted without them. ‘But what right does one have to want something for others?’ asks the conservative, who meanwhile regards the workingman or the native as ‘a grown-up child’ and who does not hesitate to dispose of the child's will. Indeed, there is nothing more arbitrary than intervening as a stranger in a destiny which is not ours: one of the shocking things about charity - in the civic sense of the word - is that it is practiced from the outside, according to the caprice of the one who distributes it and who is detached from the object. But the cause of freedom is not that of others more than it is mine: it is universally human. If I want the slave to become conscious of his servitude, it is both in order not to be a tyrant myself - for any abstention is complicity, and complicity in this case is tyranny - and in order that new possibilities might be opened to the liberated slave and through him to all men...”



# How can Design be Ethical?



- 1) Don't design "diversions"**
- 2) Give reasons for resisting oppression rather than manipulating people (≠nudges)**
- 3) Raise consciousness of oppression**



## Promoting Freedom ≠ Risk-free

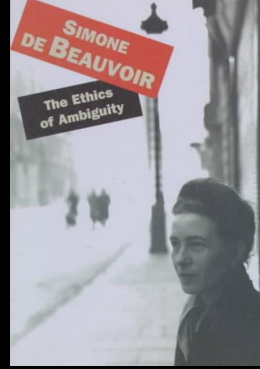


“Thus, we can set up point number one: **the good of an individual or a group of individuals requires that it be taken as an absolute end of our action; but we are not authorized to decide upon this end *a priori*.** The fact is that no behavior is ever authorized to begin with, and one of the concrete consequences of existentialist ethics is the **rejection of all the previous justifications** which might be drawn from the civilization, the age, and the culture; it is the rejection of every principle of authority. To put it positively, the precept will be to treat the other (to the extent that he is the only one concerned, which is the moment that we are considering at present) as a freedom so that his end may be freedom; in using this conducting wire one will have to **incur the risk, in each case, of inventing an original solution...**”

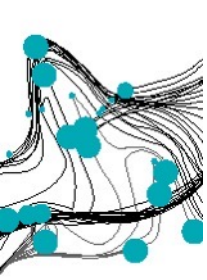




# How can Design be Ethical?



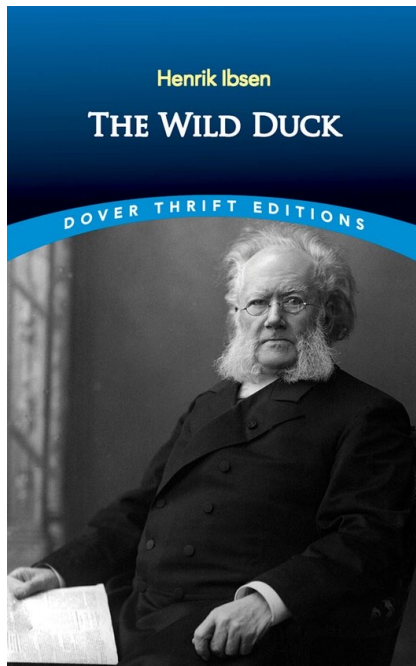
- 1) Don't design "diversions"**
- 2) Give reasons for resisting oppression rather than manipulating people (≠nudges)**
- 3) Raise consciousness of oppression**
- 4) Justify actions on freedom rather than on traditions or authority of others (≠co-design)**



# Promoting Freedom ≠ Absolutism



“That is also the problem touched on by Ibsen in *The Wild Duck*. An individual lives in a situation of falsehood; the falsehood is violence, tyranny: shall I tell the truth in order to free the victim? **It would first be necessary to create a situation of such a kind that the truth might be bearable and that, though losing his illusions, the deluded individual might again find about him reasons for hoping.**”



## THE WILD DUCK

A DRAMA IN FIVE ACTS.

By HENRIK IBSEN

TRANSLATED FROM THE NORWEGIAN BY  
ELEANOR MARX AVELING

Copyright, 1890, by JOHN W. LOVELL CO.

BOSTON  
WALTER H. BAKER & CO.

**GREGERS.** Hedvig has not died in vain. Did you see how grief set free all that is noble in him?

**RELLING.** Most people are ennobled when they stand sorrowing by the dead. But how long do you suppose this fine feeling will last with him?

**GREGERS.** It will last and grow all his life through!

**RELLING.** In three-quarters of a year little Hedvig will be nothing more to him than an excellent theme for declamation.

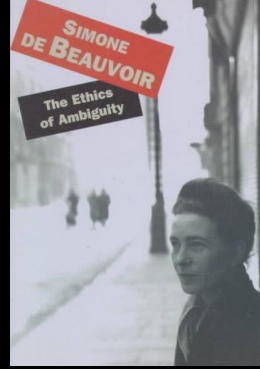
**GREGERS.** And you dare to say this of Hjalmar Ekdal?

**RELLING.** We'll talk about it again when the first grass has withered upon her grave. Then you'll hear him perorating about "the father's heart bereft too soon of it's child," then you'll see him steeping himself in emotion and in self-admiration, and self-pity. Wait and see!

**GREGERS.** If you are right, and I am wrong, then life is not worth living.

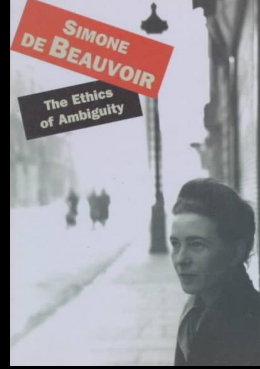
**RELLING.** Ah! life might be pleasant enough all the same, if only we could be left in peace by those blessed duns who come worrying us poor folk about the claims of the ideal.

# How can Design be Ethical?

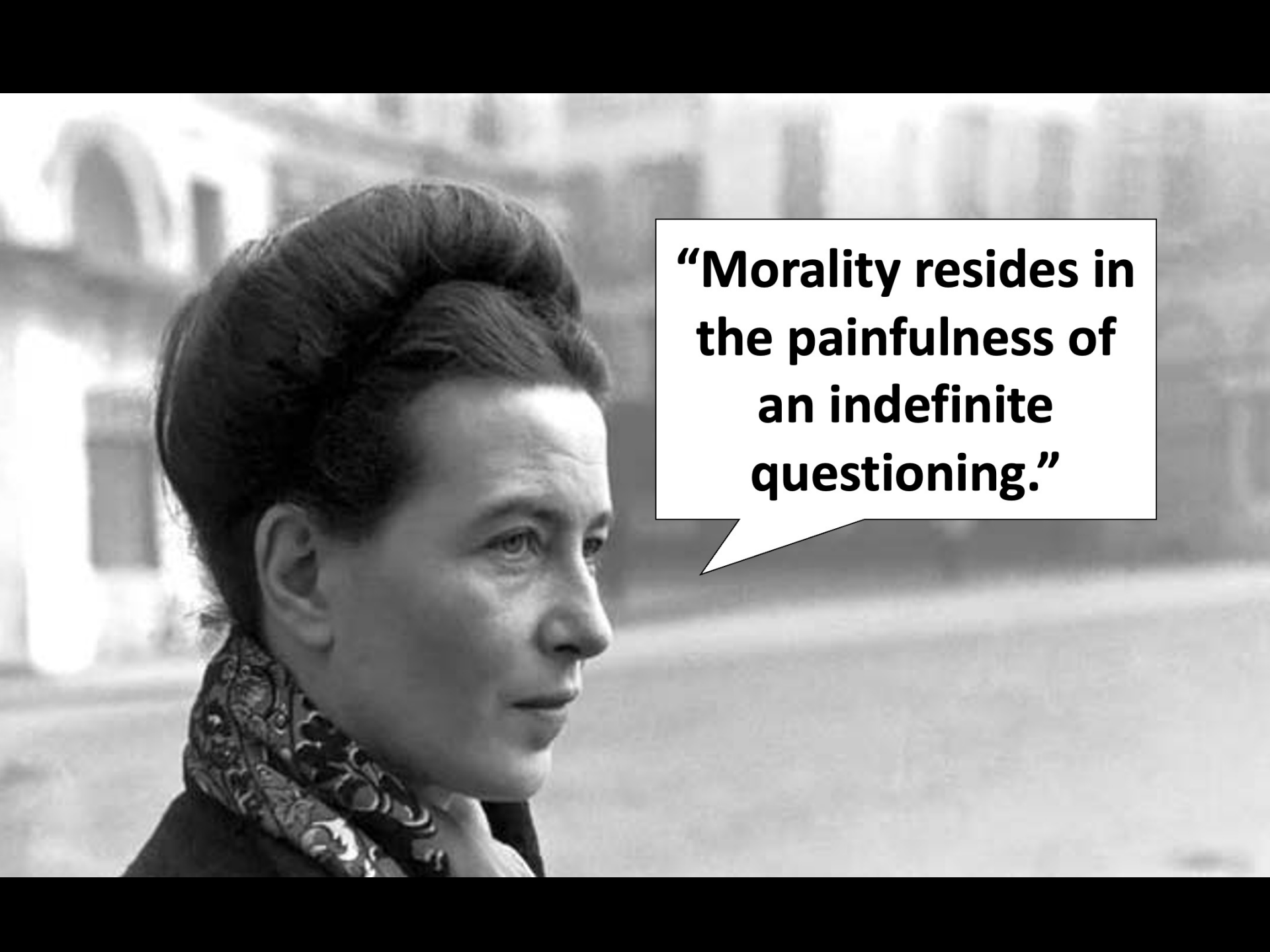


- 1) Don't design "diversions"**
- 2) Give reasons for resisting oppression rather than manipulating people (≠nudges)**
- 3) Raise consciousness of oppression**
- 4) Justify actions on freedom rather than on traditions or authority of others (≠co-design)**
- 5) Don't just force people to face truth but change situation so truth is bearable rather than disillusioning**

# How can Design be Ethical?



- 1) But what if people want diversions?***
- 2) But what if giving reasons doesn't work?***
- 3) But how do you raise consciousness?***
- 4) But how do you know if your actions are justifiable?***
- 5) But how do you know if you have changed a situation successfully?***



**“Morality resides in  
the painfulness of  
an indefinite  
questioning.”**

Nolen Gertz

# NIHILISM AND TECHNOLOGY



# NIHILISM

NOLEN GERTZ

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**Thank You!**

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